

12/22/99
JC658 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37
CFR 1.53(b))

Attorney Docket No.

102777007 HCL/JKA

First Named Inventor or Application Identifier

Martha K. Newell

Express Mail Label No.

EL018081509US

Date of Deposit

December 22, 1999

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS
TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total pages 42]
30 pages specification
1 pages abstract
11 pages claims
103 claims
3. ☒ Drawing(s) (35 USC 113)
☒ Informal ☐ Formal
[Total sheets 5]
[Total drawings 5]
4. ☒ Oath or Declaration
a. ☐ Newly executed (original or copy) [Total pages]
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference
(usable if Box 4b is checked)
The entire disclosure of the prior application,
from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
a. ☒ Computer Readable Copy
b. ☒ Paper Copy (identical to computer copy)
c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation of Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449
Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior
Statement(s) application, Status still proper
and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Other:

☒ This application claims priority under 35 USC §119(e) from U.S. Provisional Patent Application Serial No.
60/114,106, filed December 29, 1998, entitled USE OF CD40 ENGAGEMENT TO ALTER T CELL RECEPTOR
USAGE.

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17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

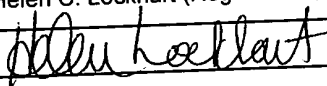
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
- ☐ Cancel in this application original claims of the prior application before calculating the filing fee.

18. CORRESPONDENCE ADDRESS

Correspondence address below

| | | | | | |
|------------------------|-------------------------------------|------------------|----------------|------------|----------------|
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19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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|------------------|--|
| NAME | Helen C. Lockhart (Reg. No. 39,248) |
| SIGNATURE |  |
| DATE | 12/22/99 |